



AMERICAN
EVALUATION
ASSOCIATION

Guiding**Principles**

AEA Ethical Guiding Principles, 2018

www.eval.org

Evaluators – Ethical Guiding Principles



Systematic Inquiry

Evaluators conduct data-based inquiries that are thorough, methodical, and contextually relevant.



Competence

Evaluators provide skilled professional services to stakeholders.



Integrity

Evaluators behave with honesty and transparency in order to ensure the integrity of the evaluation.



Respect for People

Evaluators honor the dignity, well-being, and self-worth of individuals and acknowledge the influence of culture within and across groups.



Common Good and Equity

Evaluators strive to contribute to the common good and advancement of an equitable and just society.

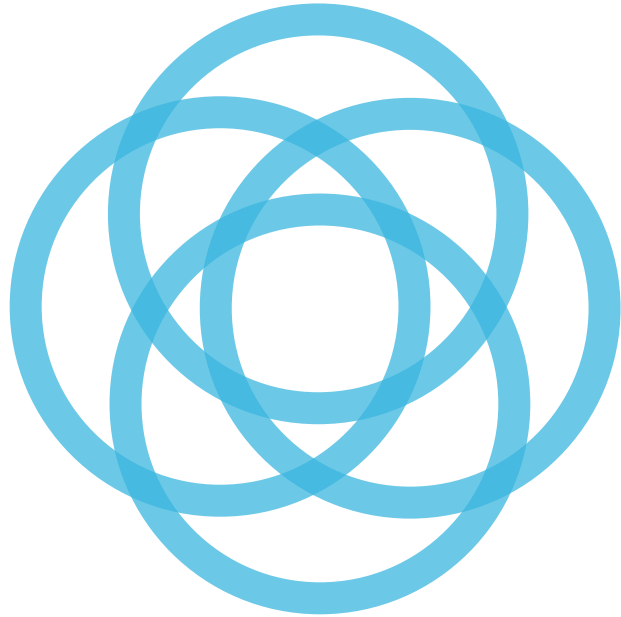


Public Statement on
**CULTURAL
COMPETENCE IN
EVALUATION**

Adopted April 2011

AEA Public Statement on
Cultural Competence in Evaluation, 2011

Culturally Responsive Evaluation




Essential Practices for Cultural Competence



- Acknowledge the complexity of cultural identity
- Recognize the dynamics of power
- Recognize and eliminate bias in language
- Employ culturally appropriate methods




NSF Report Types

- Annual Report
 - Final Report
 - Interim Report
 - Project Outcome Report
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- Information from the reports is used in NSF annual reporting to Congress to demonstrate NSF's performance as mandated by the Government Performance and Results Act of 1993.
 - The reports provide NSF program officers and administrative offices with information on the progress of the awards and the way funds are used.
 - Information in the reports may be made available to the general public through the Freedom of Information Act.
 - These reports are fully consistent with and implement the Research Performance Progress Report, which is the government-wide standard.
 - Public Outcomes Reports are generated to share outcomes with the general public.

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- Approval of the Annual Report triggers any pending AGEP Award increment.
 - Approval of the Annual Report, Final Report and Project Outcomes
 - Prevents blocking any pending increments from non-AGEP awards.
 - Prevents blocking any AGEP or non-AGEP requests.



When you have a collaborative research Alliance (each institution received a separate award) each institution submits the same narrative report and the same uploaded documents, except for reporting about:

- Participants;
- Other Organizations involved as partners;
- Other Collaborators or contact.

Accomplishments

You have the option of selecting "nothing to report" in this section.

What are the major goals of the project?

Only report the major goal(s).

What was accomplished under these goals (you must provide information for at least one of the 4 categories below)?

Major Activities:

For Alliance Models the activities reporting usually groups in the areas of development,

Specific Objectives:

implementation, self-study/testing, evaluation and dissemination, though some may have

Significant Results:

sustainability and/or reproduction/planning for reproduction.

Key outcomes or other achievements:

Only include objectives reporting if there are objectives that are part of the final award.

What opportunities for training and professional development has the project provided?

For personnel and not for participants.



How have the results been disseminated to communities of interest?

Please provide information about local, AGEP and national communities. Who, what, where, and when. If products, then provide the information in the Products section.

What do you plan to do during the next reporting period to accomplish the goals?

NOTE: You may upload PDF files with images, tables, charts, or other graphics in support of the Accomplishments section. You may upload up to 4 PDF files with a maximum file size of 5 MB each.

An opportunity to explain what will be done between the time of report submission and when you will next report. Report key events and actions planned, and when you expect them to occur.



PDF File Uploads

- A table or list of all participants and their academic career and demographic data.
- The external evaluator's report(s).
- The external advisory board(s) report(s).
- The institutional/executive board's report(s).
- A social science/education research report.
- All documents from a site visit or reverse site visit.
- The awardee responses to evaluator, board(s) and site visit panel recommendations

Products

You have the option of selecting “nothing to report” in this section. There are no limitations to the number of entries you submit and you can also pull information directly from Thomson Search when using the online tool on Research.gov.

Within the Products section, you can list any products resulting from your project during the specified reporting period, such as:

Journals or Juried Conference Papers:

Books:

Book Chapters:

Thesis/Dissertations:

Other Conference Presentations / Papers:

Other Publications:

Technologies or Techniques:

Patents:

Inventions:

Licenses:

Websites:

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Websites:

Other Products:

Provide the Digital Object Identifier (DOI) number for the peer reviewed journal publication.

Upload a copy of the document, if no DOI, with the report (e.g., book chapters, conference presentation slides).

Pay attention to award acknowledgement and NSF disclaimer statements.

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Participants

There are no limits on the number of participants you list for this section; however, you must list participants who have worked one person month or more for the project reporting period. You have the option of selecting "nothing to report" in this section. For Research Experience for Undergraduates (REU) sites and supplements, specific questions will be listed in this section. The online service will also ask for additional information on participants such as:

- What individuals have worked on the project?
- What organizations have been involved as partners?

What individuals have worked on the project?

<u>Name</u>	<u>Most Senior Project Role</u>	<u>Nearest Person Month Worked</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

What other organizations have been involved as partners?

The online service will also ask you for additional information such as:

- Type of Partner Organization
- Name
- Location
- Partner's contribution to the project

Have other collaborators or contacts been involved? Yes No



Impacts

Consider this to be broader impacts (or “societal” impacts), and write simply without abbreviations or jargon.

You have the option of selecting “nothing to report” in this section.

What is the impact on the development of the principal discipline(s) of the project?

What is the impact on other disciplines?

What is the impact on the development of human resources?



What is the impact on institutional resources that form infrastructure?

What is the impact on information resources that form infrastructure?

What is the impact on technology transfer?

What is the impact on society beyond science and technology?



Changes / Problems

If not previously reported in writing to the agency through other mechanisms, provide the following additional information or state, "Nothing to Report", if applicable.

Changes in approach and reason for change:

Report changes or problems, but don't consider these to be scope changes.

Actual or Anticipated problems or delays and actions or plans to resolve them:

Changes that have a significant impact on expenditures:

Significant changes in use or care of human subjects:



A few final reminders and suggestions

- The final report is not cumulative. It is the final “annual” report.
- If you are concerned about a pending new award, a pending increment or a pending request (e.g., PI change), then please call me.
- If your research office is concerned that they are continuing to receive notifications that a report is due, then please call me.
- Reports from each institution that is part of a collaborative Alliance (separate award to each institution) are processed together.
- Mark Leddy, NSF, mleddy@nsf.gov O: 703-292-4655